



Application No. 10/078,240  
Docket No. 740756-2438

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: )  
Shunpei YAMAZAKI et al. )  
Serial No. 10/078,240 )  
Filed: February 20, 2002 )  
For: METHOD OF MANUFACTURING A )  
SEMICONDUCTOR DEVICE )  
Examiner: Thanh T. Nguyen  
Group Art Unit: 2825  
Confirmation No.: 3255

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. §1.56, Applicants hereby submit the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98. Pursuant to 37 C.F.R. § 1.98, a copy of each of the documents cited is enclosed.

The Commissioner is hereby authorized to charge \$180 to deposit account no. 19-2380 to comply with the provisions of 37 C.F.R. § 1.97(c).

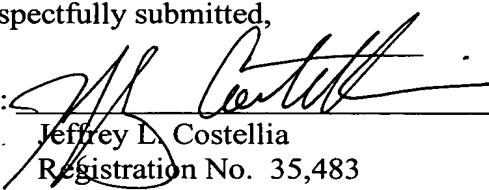
The references cited herein were either cited by the Examiner or Applicant in the previously submitted co-pending related applications Serial Nos. 10/056,054 and/or 10/081,767.

It is requested that the accompanying PTO-1449 be considered and made of record in the above-identified application. To assist the Examiner, the documents are listed on the attached form PTO-1449. It is respectfully requested that an Examiner initialed copy of this form be returned to the undersigned.

The Commissioner is also authorized to charge any fees connected with this filing which may be required now, or credit any overpayment to Deposit Account No. 19-2380. (740756-2438).

Respectfully submitted,

By:

  
Jeffrey L. Costellia  
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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
(use as many sheets as necessary)

Sheet

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of

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*Complete if Known*

Application Number	10/078,240
Filing Date	February 20, 2002
First Named Inventor	Shunpei YAMAZAKI
Art Unit	1762
Examiner Name	Granvill D. Lee
Attorney Docket Number	740756-2438

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)				
		US-5,529,937	06-25-1996	Zhang et al.		
		US-5,569,610	10-29-1996	Zhang et al.		
		US-5,639,698	06-17-1997	Yamazaki et al.		
		US-5,663,077	09-02-1997	Adachi et al.		
		US-5,773,327	06-30-1998	Yamazaki et al.		
		US-5,824,573	10-20-1998	Zhang et al.		
		US-5,879,977	03-09-1999	Zhang et al.		
		US-5,904,770	05-18-1999	Ohtani et al.		
		US-5,923,997	07-13-1999	Mitanaga et al.		
		US-5,953,597	09-14-1999	Kusumoto et al.		
		US-6,319,761 B1	11-20-2001	Zhang et al.		

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100-112805

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				

**OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.